PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/661,381 **TRANSMITTAL** Filing Date September 12, 2003 **FORM** First Named Inventor Dubrow Art Unit 1733 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 01-002810 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): PTO 1449 (3 pages), 25 references, return Request for Refund Express Abandonment Request receipt postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Andrew L. Filler, Reg. No. 44,107 Individual name

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.

10/661,381

Confirmation No.:

6710

Applicant

Robert S. Dubrow

Filed

September 12, 2003

TC/A.U.

1733

Examiner

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Docket No.

01-002810

Customer No.

33140

Title

Structures, systems and methods for joining articles and materials

and uses therefor

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. Pursuant to 1276 OG 55 dated August 5, 2003, Applicants are not providing copies of US patents and/or publications as this application was filed after June 30, 2003. A copy of any non-US patent or publication reference cited is enclosed.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is made that a search has been conducted or that this statement encompasses all possible relevant information.

Appl. No. 10/661,381 Robert S. Dubrow Information Disclosure Statement Filed October 29, 2004

Applicants believe that no fee is required for submission of this statement, since it is being submitted within three months of the filing date or prior to the first Office Action.

However, if a fee is required, the Commissioner is authorized to charge such fee to Deposit Account No. 50-2336. Please charge any additional fees or credit any overpayment to the abovenoted deposit account.

Respectfully submitted,

Andrew L. Filler Reg. No. 44,107

NANOSYS, INC. 2625 Hanover Street Palo Alto, CA 94304 Tel: 650-331-2176

Fax: 650-331-2101

Substitute for form 1449A/PTO Complete if Known **Application Number** 10/661,381 FORMATION DISCLOSURE Filing Date September 12, 2003 STATEMENT BY APPLICANT First Named Inventor Dubrow Art Unit 1733 (use as many sheets as necessary) **Examiner Name** Attorney Docket Number 01-002810 Sheet of

	т — —		.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.	Document No.  Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
	AA	US-5,976,957	11-021999	Westwater et al.	
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	AW	US-20030195611	10-16-2003	Greenhalgh et al.	
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	ΑZ	US-20040052867	03-18-2004	Canham	
	ВА	US-20040115239	06-17-2004	Shastri et al.	
Examine Signatur				Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		Complete if Known		
		Application Number	10/661,381	
INFORMATION DISCLOSURE		Filing Date	September 12, 2003	
STATEMENT BY APPLICANT		First Named Inventor	Dubrow	
		Art Unit	1733	
(use as many sheets as necessary)		Examiner Name		
Sheet	of	Attorney Docket Number	01-002810	

		FOREIG	IN PATENT DO	CUMENTS		
Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
	ВВ	WO-9940812	08-19-1999	Michigan State University		
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	BF	WO-03099951	12-04-2003	Max-Planck		
	BG	WO-03102099	12-11-2003	DuPont		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т
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	ВІ	CHEN, I.W. et al., "Sintering dense nanocrystalline ceramics without final-stage grain growth" Nature (2000) 404(6774):168-171	
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		С	Complete if Known		
		Application Number	10/661,381		
INF	ORMATION DISCLOSURE	Filing Date	September 12, 2003		
STA	ATEMENT BY APPLICANT	First Named Inventor	Dubrow		
		Art Unit	1733		
	(use as many sheets as necessary)	Examiner Name			
Sheet	of	Attorney Docket Number	01-002810		

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BZ	ZHOU et al., "Silicon nanowires as chemical sensors" Chem. Phys. Lett. (2003) 369:220-224

Examiner	Date	
Signature	Considered	

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